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| Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary) | Atty Docket No. | GRAMP005 |
| | Application No.: | 10/817,564 |
| | Applicant | David Graham |
| | Filing Date | April 1, 2004 |
| | Group | 2629 |
| | | Page 1 of 1 |

U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date | Patentee | Class | Sub-class | Filing Date |
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| | 1. | | | | | | |

Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-Class | Translation | |
|------------------|-----|-----------------|------------------|--------------------------|-------|-----------|-------------|----------|
| | | | | | | | Yes | No |
| /J.H.N/ | 2. | JP 2001-514779A | 09/11/01 | JP | | | | |
| ↓ | 3. | JP 61-043326A | 03/01/86 | JP | | | | Abstract |
| | 4. | JP 11-119912A | 04/30/99 | JP | | | | Yes |
| | 5. | JP 07-253853A | 10/03/95 | JP | | | | Yes |
| /J.H.N/ | 6. | JP 62-128318A | 06/10/87 | JP | | | | Abstract |

Other Documents

| Examiner Initial | No. | Author, Title, Place (e.g. Journal) of Publication, Date |
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| /J.H.N/ | 7. | Office Action in Chinese Patent Application No. 200580026392.8 dated December 12, 2008. |
| /J.H.N/ | 8. | Notice of Allowance in 10/862,003, mailed March 9, 2009. |
| | 9. | Allowed Claims from 10/862,003. |
| /J.H.N/ | 10. | Office Action in Japanese Patent Application No. 2006-509663 dated October 13, 2009 |
| ↓ | 11. | Notice of Allowance dated January 8, 2009 from U.S. Patent Application No. 11/612,099 |
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| | 13. | Palocz et al. "Free-standing All-polymer Microring Resonator Optical Filter", Electronics Letters, IEE Stevage, GB, Vol. 39, No. 23, Nov. 13, 2003, 2 pages |
| | 14. | International Search Report dated March 22, 2006 from International Patent Application No. PCT/US2005/019560 |
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| Examiner | /Jimmy H. Nguyen/ | Date Considered | 07/27/2010 |
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.H.N.